

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

CHIOU-FENG CHEN ET AL

Serial No. 10/803,183

Filed: March 17, 2004

For: SELF-ALIGNED SPLIT-GATE

NAND FLASH MEMORY AND

FABRICATION PROCESS

Examiner: Not Assigned

Art Unit: 2818

June 22, 2004

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INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The patents, publications and/or other information identified on the attached Form PTO/SB/08A are hereby disclosed in compliance with 37 C.F.R. 1.56, 1.97 and 1.98. A copy of each patent, publication or other information so identified is also attached.<sup>1</sup> It is requested that each such patent, publication and/or other information be considered and made of record by the Examiner.

Since this statement is being filed prior to a first action on the merits, no fee or certification is believed to be required. However, in the event that a fee is required, the Commissioner is authorized to charge it to Deposit Account 50-2975, Order No. A-75030.

Respectfully submitted,

Edward S. Wright Reg. No. 24,903

(650) 330-0830

<sup>1</sup>Except U.S. patents, U.S. patent applications filed after June 30, 2003, and international applications that have entered the national stage under 35 U.S.C. §371 after June 30, 2003, for which the requirement to submit a copy has been specifically waived.



## **CERTIFICATE OF MAILING**

I hereby certify that the foregoing INFORMATION DISCLOSURE STATEMENT and FORM PTO/SB/08A are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 22, 2004.

Edward S. Wright

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to re-

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## Unde the Paper... Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/803,183				
Filing Date	03/17/2004				
First Named Inventor	Chiou-Feng Chen				
Art Unit	2818				
Examiner Name	Unassigned				
Attorney Docket Number	Δ-75030				

U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	<u> </u>	Number-Kind Code <sup>2 (if known)</sup>			rigules Appeal		
		<sup>US-</sup> 4,959,812	09-25-1990	Momodomi et al.			
		<sup>US-</sup> 5,050,125	09-17-1991	Momodomi et al.			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	-6	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear		
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Examiner Signature			Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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